EXTENDED ESSAY IN MATHEMATICS SL

Spiral Forms in Nature

Can chosen spiral forms in nature be described using the logarithmic spiral?

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Abstract

The aim of this essay is to answer the following research question: “Can chosen spiral forms in nature be described using the logarithmic spiral?”.

I have chosen to investigate this spiral in two dimensional space only, because focusing on both two and three dimensional spaces in the essay would make me investigate the problem partially and briefly.

In order to answer my research question I analysed in chapter 1 the properties of the logarithmic spiral. In chapter 2 I chose photos of natural forms that made me think of the logarithmic spiral: a shell, a chameleon’s tail, the Milky Way and the human ear. I measured them accurately. The measurements were used to construct mathematical models of spirals. In chapter 2 I also checked whether the models fit the original forms.

It turns out that most of the forms could be, more or less accurately, described using the logarithmic spiral. This small discovery implicates that maybe other natural forms could be described using other models or formulas. This leads to a hypothesis that nature could be strongly connected to mathematics.

I believe that the subject is worth investigating because it emphasizes the true beauty of mathematics and shows that maths can produce beautiful decorative forms.
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Introduction

In front of my high school (Juliusz Słowacki’s High School in Kielce, Poland) a specific sculpture can be found. This sculpture (Figure 1) was carved by a local artist, Józef Sobczyński (1947 – 2008), and it represents two ammonites (extinct molluscs).

Every time I was passing nearby, I kept wondering whether their shape, repeated so many times in nature, can be described in a mathematical way. My own interest in conchology led me to the hypothesis that the shells are built on the basis of logarithmic spiral, which I accidentally encountered in Fernando Corbalán’s book about the golden ratio. I decided I wanted to check on my own if its true and discover whether other spiral forms present in nature can be described in a similar way. Hence my research question is: “Can chosen natural forms be described using the logarithmic spiral?”.

To answer it I will carefully analyse the mathematical and geometrical properties of the logarithmic spiral in two-dimensional space and compare it to the chosen photos or drawings of e.g. a shell, a chameleon’s tail. In my opinion these objects will be similar to the logarithmic spiral.
In this essay I hope to show the beauty of maths and prove that it isn’t limited to equations, formulas and economics only, but can be found worldwide, in human ear and hurricanes; in other words I want to show its universality. This is another reason why I find the phenomenon of logarithmic spiral worth investigating.
Chapter 1: The logarithmic spiral and its properties

1.1. A brief insight into history

The name “logarithmic spiral” arises from the transformation of the formula describing the shape of the spiral. In this formula, if I express the angle in terms of the radius, I will obtain a logarithm function.

Other names (e.g. “growth spiral”, “equiangular spiral”) are connected to spiral’s properties. The others need some historical background to be understood.

The logarithmic spiral appeared in human arts as early as in antiquity (ionic column capitals in Greek architecture), but it has become popular as late as in 16\textsuperscript{th} century. The first descriptions from the mathematical point of view were introduced by René Descartes (1596 - 1650). He noticed that while the spiral’s polar angles increase in arithmetical progression, its radii increase in geometrical progression\textsuperscript{4} (“geometrical spiral”). Italian physician Evangelista Torricelli (1608 – 1647) in his research managed to find the rectification of the curve\textsuperscript{5} and English architect Sir Christopher Wren (1632 – 1723) suggested that the spiral could be “a cone coiled about an axis”\textsuperscript{6}.

English astronomer Edmond Halley (1656 – 1742) discovered that the spiral’s fragments cut off in successive turns in proportion (“proportional” spiral) are self-similar\textsuperscript{7}. Jacob Bernoulli (1654 – 1705) was also fascinated by its self-similarity and thus named it “miraculous” spiral (\textit{Spira mirabilis} in Latin)\textsuperscript{8}.

The logarithmic spiral is sometimes confused with the golden spiral, they are however not the same.
1.2. The definition of a spiral

In order to understand the definition of the logarithmic spiral better, I suggest taking a look at the definition of a non-specified two-dimensional spiral:

A spiral “may be described most easily using polar coordinates, where the radius $r$ is a monotonic continuous function of angle $\theta$”\(^9\).

1.3. The polar form of the logarithmic spiral

![Logarithmic spiral](image)

Figure 2.\(^{10}\) Logarithmic spiral

The logarithmic spiral is normally represented in the polar coordinate system. In such a system the coordinates are the radius $(r)$ and the chosen angle $(\theta, \theta \in ]-\infty, +\infty [$)\(^{11}\). The generatrix (G) is a point that lays on the plane in distance $r$ from the pole (described also as origin, $O$). $r$ depends on the angle $\theta$ (it has its vertex in the origin and the arm that starts its turn is the ray $OX$ (a polar axis))\(^{12}\).

Therefore the logarithmic spiral is defined by the following formula\(^{13}\):

\[
(1) \quad r(\theta) = ae^{b\theta}
\]
Where:

\(a, b\) – constant real parameters

At this point we can draw the logarithmic spiral with help of a graphic data calculator, Ti-84. We change degrees to radians and Cartesian Plane to the polar coordinate system (POL). I assumed that \(\theta \in [-\pi/4; 6\pi], \) and \(a=1, b=0.2.\) \(^1\) Such a choice of values for these parameters will be explained later in the essay. I inserted formula (1) in menu Y= and drew the spiral (Figure 3.).

\[
\frac{y}{\cos \theta} = \frac{x}{\sin \theta}
\]

Figure 3. Construction of the logarithmic spiral in Ti-84

1.4. The cartesian form of the logarithmic spiral

Now I will derive the parametric equation of the logarithmic spiral in a cartesian coordinate system.

\[
\cos \theta = \frac{x}{r} \quad \text{and} \quad \sin \theta = \frac{y}{r}
\]

Figure 4. Parameters \(x\) and \(y\).

From Figure 4. it can be seen that:
I multiply both equations by \( r \).

\[
\begin{align*}
    r \cos \theta &= x \\
    r \sin \theta &= y
\end{align*}
\]

Since \( r(\theta) = ae^{b\theta} \) (formula (1)),

\[
\begin{align*}
    x &= ae^{b\theta} \cos \theta \\
    y &= ae^{b\theta} \sin \theta
\end{align*}
\]

Where (2) is the parametrical form of the logarithmic spiral.

Now I can draw the logarithmic spiral in Microsoft Excel. I will need this to check what influence do different parameters have on the shape of the spiral and to be able to compare them with examples from nature.

I will use a linear graph. Seven columns are needed (A – G)

In column A I put a set of angles: in our case, set A: A \in [-90 ^\circ, 1080 ^\circ]. In column B I transform degrees to radians (using this formula: “=PI()*A2/180”, where PI() is \( \pi \) and A2 is the cell number 2 in column A). Parameters \( a \) and \( b \) remain the same: \( a=1, b=0.2 \). Now, we calculate the values in column E and F using formulas (1) and (2).

\[
x=CS92*EXP(DS92*B2)*COS(B2),
\]

Where: \( CS92 \) is the cell in which \( a=1 \) can be found, \( DS92 \) is the cell with \( b = 0.2 \), \( B2 \) is the angle in radians, and \( COS(B2) \) is the cosine of that angle. The Excel function EXP calculates the value of e to the power of values in the brackets.

\[
y=CS92*EXP(DS92*B2)*SIN(B2), \text{ where } SIN(B2) \text{ is the sine of angle from the cell B2.}
\]

The radius, \( r \), is described by: \( r=CS92*EXP(DS92*B2) \)

We should note that the cell B2 is not a constant and that it will change, from B2 till B1172 (they contain angles from set A).

*Figure 5.* shows a part of the data needed to construct the spiral.
Figure 5. Part of the data needed to construct the spiral in Excel.

Now I draw the logarithmic spiral (Figure 6):

![Logarithmic Spiral in Excel](image)

Figure 6. The logarithmic spiral in Excel
1.5. Descartes’ theorem and its proof

Descartes’ Theorem:

“(in logarithmic spiral) the angle at which a radius increases in geometrical progression, as its polar angle increases in arithmetical progression”\(^{14}\)

Let \( U_1 \) be an angle and first term in a sequence, and \( U_n \) and \( U_{n+1} \) be the consecutive terms in the same arithmetic sequence:

\[
\begin{align*}
(3) \quad U_n &= U_1 + d(n-1) \\
(4) \quad U_{n+1} &= U_1 + d(n)
\end{align*}
\]

and let \( d \) be common difference:

\[
d = \text{const}
\]

and let \( a \) and \( b \) be real numbers.

If \( U_n \) and \( U_{n+1} \) are angles, we can apply them to the formula (1) creating the next terms of a sequence of radii:

\[
\begin{align*}
   r_n &= a e^b \times (U_1 + d \times (n-1)) \\
   r_{n+1} &= a e^b \times (U_1 + d \times n)
\end{align*}
\]

Let’s calculate the common ratio (\( q \)) for two consecutive terms of radii:

\[
q = \frac{r_{n+1}}{r(n)} = \frac{a e^b \times (U_1 + d \times n)}{a e^b \times (U_1 + d \times (n-1))} = \frac{e^b \times d \times n \times e^b \times U_1}{e^b \times d \times (n-1) \times e^b \times U_1} = \frac{e^b \times d \times n}{e^b \times d \times (n-1)} = e^{b \times d \times (n-1)} - e^{b \times d \times n - b \times d \times (n-1)} = e^{b \times d \times n - b \times d \times n + b \times d} = e^{b \times d}
\]

Which means that \( q = \text{const} \), so this is a geometric sequence.
1.6. The impact of chosen parameters onto the shape of the spiral

Both parameters a and b are factors that change the value of the radius. Parameter a multiplicates the whole expression, while parameter b multiplicates only the angle $\theta$. This may influence the shape of the curve. After a series of experiments I established that the most suitable (the ones that ensure our curve will resemble a logarithmic spiral like the one from Figure 2.) values of $a$ and $b$ are $a=1$ and $b=0.2$.

Now I will analyse the impact of parameters from different sets of numbers on the shape of the spiral.

**CASE 1**

Parameters: $a = 0$, $b = 0.2$

$$r(\theta) = 0 \times e^{0.2 \theta} = 0$$

Since the radius equals zero, no curve can be drawn. The graph is empty.

**CASE 2**

Parameters: $a > 0$ ($a = 1 \lor a = 10$), $b = 0.2$

$$r(\theta) = 10e^{0.2 \theta}$$

The radius increases and so the spiral is extended on a bigger plane (figure 7).

![Figure 7. Impact of a positive a parameter onto the spiral](image)
CASE 3
Parameters: $a < 0$ (e.g. $a = -10$), $b = 0.2$

$$r(\theta) = -10e^{0.2\theta}$$

The radius of the spiral increases and the spiral extents on a bigger plane, like in CASE 2. However, since the values of $a$ are negative, the spiral is centrally symmetrical to the spiral of parameters $a > 0$ and $b = 0.2$ (Figure 8). The centre is the origin (0,0).

![Figure 8. Impact of a negative a parameter onto the spiral](image)

CASE 4
Parameters: $a = 1$, $b > 0$ (e.g. 0.4 ; 1)

$$r(\theta) = e^{0.4\theta}, \quad r(\theta) = e^{\theta}$$

The greater $b$, the greater the radius and as the angles increase, the spiral extents on a greater and greater plane, swirling on an increasing distance from the origin (Figure 9.)

![Figure 9. Impact of a positive b parameter onto the spiral](image)
CASE 5

Parameters: \(a=1, 0<b<1\) (e.g. 0.1; 0.05; 0.01)

\[ r(\theta) = e^{0.1\theta}, \quad r(\theta) = e^{0.05\theta}, \quad r(\theta) = e^{0.01\theta} \]

The smaller \(b\), the smaller the radius as the angles increase and so the spiral twirls to the inside around the origin (Figure 10). When \(b\) is about to reach zero from both positive and negative side, next turns of the spiral get closer to one another.

![Graphs showing impact of parameter b on spiral shape](image)

*Figure 10. Impact of parameter b, when 0<b<1, onto the shape of the spiral*
CASE 6
Parameters: \( a = 1, \ b = 0 \)

\[ r(\theta) = e^{0 \times \theta} = e^0 = 1 \]

When \( b = 0 \), regardless of the angle chosen, the radius is constant. Hence the consecutive points will form a circle (Figure 11.).

*Figure 11. Circle – special form of the logarithmic spiral*
Chapter 2: Mathematical analysis of natural spiral forms

Now that I analysed the logarithmic spiral’s properties, I can proceed towards mathematical analysis of chosen natural forms. In this chapter I hope to answer my research question.

2.1. Nautilidae

Nautilidae are marine molluscs. They live in warm seas near Indonesia. Figure 12. shows a pendulum made of a Nautilus’ shell.

![Figure 12. Pendulum made of a Nautilus’ shell](image)

In order to see, whether this shell can be described using the logarithmic spiral, I will mark the origin (white spot) and two chosen consecutive turns (yellow and blue spots) on the shell (Figure 13):
Figure 1: Origin and two consecutive turns

Now, let’s read from photo 3. the distance between points O and A and O and B.

\[ |OA| = 1.6 \text{ [cm]} \]

\[ |OB| = 4.5 \text{ [cm]} \]

Both \(|OA|\) and \(|OB|\) are the length of the radii for two different angles of the logarithmic spiral. I don’t know the parameters \(a\) and \(b\) yet, but we can find them using an equation. Let’s take a look at formula (1):

\[ r(\theta) = ae^{b\theta} \]

In case of the second turn (OA) (the first turn wasn’t marked because it was too illegible), the \(r(\theta) = 1.6 \text{ [cm]}\), and \(\theta = 4\pi\). Applying this data to formula (1) we get:

\[ (e_1) \quad 1.6 = ae^{4\pi b}, \]

Analysing the third turn, I get:

\[ (e_2) \quad 4.5 = ae^{6\pi b} \]

Now, let’s express \(a\) in terms of \(r(\theta)\) and \(e^{k\pi b}\).
\[
a = \frac{1.6}{e^{4\pi b}} \quad \text{from } (e_1)
\]
\[
a = \frac{4.5}{e^{6\pi b}} \quad \text{from } (e_2)
\]

I get the following equation:

\[
(e_3) \quad \frac{1.6}{e^{4\pi b}} = \frac{4.5}{e^{6\pi b}}
\]

\[
1.6e^{6\pi b} = 4.5e^{4\pi b}
\]

\[
1.6e^{6\pi b} - 4.5e^{4\pi b} = 0
\]

\[
e^{4\pi b} (1.6 e^{2\pi b} - 4.5) = 0
\]

Since \(e^{4\pi b} \neq 0\),

\[
1.6 e^{2\pi b} - 4.5 = 0
\]

\[
1.6 e^{2\pi b} = 4.5
\]

\[
e^{2\pi b} = \frac{4.5}{1.6}
\]

\[
\ln 2.8125 = 2\pi b
\]

We divided the upper equation by \(2\pi\) and get \(b\):

\[
b = 0.1646577 \approx 0.165
\]

Now that we have \(b\), we should also find \(a\). Let’s use the equation derivated before from \((e_1)\):

\[
a = \frac{1.6}{e^{4\pi b}} = \frac{1.6}{e^{2\pi b} \times e^{2\pi b}} = \frac{1.6}{(2.8125)^2} \approx 0.202
\]

At this point we have all the data needed to find the logarithmic spiral that should fit the nautilus’ shell. Figure 14. on the next page shows it:
I used PhotoFiltre to put the spiral on Nautilus’ shell and I got the following result:

I suppose that in this case we can assume that Nautilida shells can be described using the logarithmic spiral.
2.2. Chamaeleo calyptratus

*Figure 16.* shows a veiled chameleon. Chameleons are warmth-loving lizards, famous for their ability to change the color of the skin. We will however focus on the shape of their tail (*Figure 17*).

Since the size of the tail depends on age and can vary within a population, this time I will focus on parameter $b$ only. Let $r_1$ and $r_2$ be measured in pixels and equal 134 and 206 respectively.
Figure 18. shows the situation:

![Figure 18. Origin and two radii marked](image)

Again, I will use a transformation of formula (1) for two consecutive turns of the spiral:

\[
\frac{r(\theta)}{e^{b\theta}} = a
\]

We will go in the clockwise direction, so the angles will be negative: -3.5\(\pi\) for \(r_1\) and -4.5\(\pi\) for \(r_2\).

\[
\frac{134}{e^{-5\pi b}} = \frac{206}{e^{-6\pi b}}
\]

\[
134(e^{-6\pi b}) = 206(e^{-5\pi b})
\]

We divide the equation by 206 and \(e^{-6\pi b}\),

\[
\frac{134}{206} = \frac{e^{-5\pi b}}{e^{-6\pi b}}
\]

\[
0.650 = e^{\pi b}
\]

\[
\ln 0.650 = \pi b \quad / : \pi
\]

\[
b = -0.137
\]
Choosing a random, greater than zero $a$ (in my case $a = 11$) and applying it together with parameter $b$ to my excel equations I get such a graph:

![Graph with $b = -0.137, a > 0$]

*Figure 19. Model of the tail*

I placed the graph on the photo and got the following result:

![Photo with spiral model applied to a natural form]

*Figure 20. Applying the model to the tail*

I suppose that this time a natural form can be described using the logarithmic spiral, too.
2.3 The Milky Way

*Figure 21.* shows the Milky Way, the galaxy in which the Solar System and the Earth can be found.

A photomanipulation was used to find the origin of the galaxy:

*Figure 22.* The origin of the galaxy
Each 95 pixels on the picture correspond to 10,000 light years. 1 light year (ly) is approximately $9,4607 \times 10^{15}$ m. I decided to use the ly unit, because Excel's possibilities are limited when it comes to counting bigger numbers.

To find whether the galaxy can be described using a logarithmic spiral I traced a sample curve and marked the O point in the centre of the region marked as origin (O). Then like in previous cases I drew two line segments $\overrightarrow{OA} (r_1)$ and $\overrightarrow{OB} (r_2)$. The angle at which point A can be found is $\frac{5\pi}{2}$, and the angle for point B is at $\frac{7\pi}{2}$. Figure 23. below shows the whole situation.

![Figure 23. Origin and two radii](image)

Counting the $r_1$:

if: 95 px – 10 000 ly
then: 186 px (length of $r_1$ on the picture) – $r_1$

$$r_1 = \frac{186 \times 10^{000}}{95} \text{ [ly]} = 19578.94737 \text{ [ly]}$$

$r_2$ was counted in an analogical way and its value is of 39789.47368 [ly].
I applied this data to formula (4) and obtained:

\[ a = \frac{19578.94737}{e^{\frac{5\pi \times b}{2}}} \quad \text{for } r_1 \]

and

\[ a = \frac{39789.47368}{e^{\frac{7\pi \times b}{2}}} \quad \text{for } r_2 \]

In this way I will be able to calculate the parameter \( b \):

\[
\frac{19578.94737}{39789.47368} = \frac{5\pi \times b}{7\pi \times b}
\]

I divided both equations by 39789.47368 and multiplycate them by \( e^{\frac{5\pi \times b}{2}} \):

\[ \frac{19578.94737}{39789.47368} = \frac{e^{\frac{5\pi \times b}{2}}}{e^{\frac{7\pi \times b}{2}}} \]

\[ \frac{19578.94737}{39789.47368} \approx 0.49206 \]

\[ 0.49206 = e^{-b\pi} \]

\[ \ln 0.49206 = -b\pi \]

\[ \ln 0.49206 \approx -0.70915 \]

\[ -0.70915 = -b\pi \quad / : (-\pi) \]

\[ b \approx 0.22573 \]
I apply $b$ to the equation for $a$ based on $r_1$:

$$a = \frac{19578.94737}{5\pi \times 0.22573} \frac{e}{2}$$

$$a \approx 3325.35223$$

The spiral for $a$ and $b$ is on Figure 24.

Figure 24. Model of the galaxy

The scale bar in the graph is measured in 50 000 light years. In the photo of the Milky Way there is a scale bar in 10 000 light years. We need to use some maths to adjust the pictures:

If on the photo the width of 95 px corresponds to 10 000 ly, then how many px will correspond to 50 000 ly?

$$x_1 = \frac{95 \text{ px} \times 50 000 \text{ ly}}{10 000} = 475 \text{ px}$$

On the graph only 64 px correspond to 50 000 ly. In order to make the 50 000 ly correspond to 475 px (like on the photo), we need to change the total width of the graph (length will be automatically adjusted).
if 64 px correspond to 475 px, then 656 px (the total width of the graph) – \( x_2 \)

\[
x_2 = \frac{656 \text{ px} \times 475 \text{ px}}{64 \text{ px}} = 4868.8 \text{ px}
\]

I changed the size width of the graph, cut out the part closest to origin and place it on the picture to see if the spiral and the galaxy match (Figure 25.):

*Figure 25. Applying the model to the galaxy*

It seems that a galaxy can be described using the logarithmic spiral, too!
2.4. The human ear

Can a part of human body be described using the spiral? Why not! Let’s try with the human ear.

It however causes more problems than the previous examples because in this case there is no real origin (*figure 26.*).

*Figure 26. My ear*

I decided to find the parameters by trial and error and I chose $a = 0.700$, $b = 0.250$. I took angles from a new set: [-90; 273] degrees and let Excel draw the graph (*Figure 27.* on the next page).
Figure 27. Model of the human ear

The origin of the ear is the intersection point of lines $L_x$ and $L_y$, passing through points $X_{max}$ and $X_{min}$, and $Y_{max}$ and $Y_{min}$ respectively (figure 28). However, I can’t prove that they intersect and that the angle at which they intersect is a straight angle.

Figure 28. Searching for origin

We can still apply Figure 29 to the photo of human ear. The result obtained is not as precised as in previous cases, but it matches the photo (Figure 29, on the next page):
2.5. Further examples

There are of course other natural forms which possibly can be described using the logarithmic spiral, like for example:

a) Cucumber tendrils

Figure 32.18 Cucumber tendrils
b) *Rex begonia* leaves:

![Rex begonia leaves](image)

*Figure 33.*19 Rex begonia leaves

c) Half Moon Bay, California, USA20:

![Half Moon Bay](image)

*Figure 34.*21 Half Moon Bay

d) Pressure system over Iceland:

![Pressure system over Iceland](image)

*Figure 35.*22 Pressure system over Iceland
Conclusion

The aim of my essay was to check whether chosen natural forms could be described using the logarithmic spiral. I managed to construct two-dimensional models which more or less resembled the examples I chose to analyse. Therefore, the answer to my research question is yes, natural forms can be described using the logarithmic spiral.

I am satisfied with my discovery. It changed my view on how the world is constructed. I always thought nature was undescrivable. What if it’s all about maths instead? We already know that bacteria reproduce according to a logarithmic pattern. Snowflakes are similar to fractals. What if we didn’t invent, but only discovered formulas?

I was convinced that I would most appreciate the decorative forms formulas can produce. Now I see that there is something that enchants me more. This is the connection between different areas of mathematics. Although this extended essay focused on logarithmic spiral, I used some geometry, trigonometric functions, sequences and series and Excel maths.

Maths is truly universal.
Bibliography


References:


